

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For 6 Month Period Ending May 31, 2021

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant (b) Registration Number
- Scottish Enterprise 3013
- (c) Primary Business Address
- 50 Milk Street  
16th Floor  
Boston, MA 02109  
see attached list for other offices

## 2. Has there been a change in the information previously furnished in connection with the following?

## (a) If an individual:

- (1) Residence address(es) Yes ☐ No ☐
- (2) Citizenship Yes ☐ No ☐
- (3) Occupation Yes ☐ No ☐

## (b) If an organization:

- (1) Name Yes ☐ No ☒
- (2) Ownership or control Yes ☐ No ☒
- (3) Branch offices Yes ☒ No ☐

## (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

Moved floors in Boston from 14th to 16th

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6.**3. If the registrant previously filed an Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒If yes, has the registrant filed an updated Exhibit C? Yes ☐ No ☐

If no, please file the updated Exhibit C.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

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4. (a) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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(b) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Ceased
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5. (a) Has any person named in Item 4(a) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

Name	Foreign Principal(s) Represented	Services
N/A		

(b) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been previously filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement or submitted with this filing? Yes ☐ No ☐

If no, list names of persons who have not previously filed the required statement or are not submitting a short form with this filing.

N/A

**II - FOREIGN PRINCIPAL**

7. Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Has the registrant added any new foreign principal(s)<sup>2</sup> during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Foreign Principal

Date Added

9. In addition to those named in Items 7 and 8, if any, list the foreign principal(s) whom the registrant continued to represent during the 6 month reporting period.

Scottish Enterprise  
150 Broomielaw, 5 Atlantic Quay  
Glasgow, Scotland  
G2 8LU

10. (a) Has the registrant filed Exhibits A and B for the newly added foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup>Yes ☐No ☐N/A ☒Exhibit B<sup>4</sup>Yes ☐No ☐N/A ☒

If no, please file the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal(s) whom the registrant represented during this 6 month period?

Yes ☐No ☒

If yes, has the registrant filed an amendment to these exhibits?

Yes ☐No ☐

If no, please file the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail all activities and services:

Foreign Principal	Activities/Services
Scottish Enterprise	Scottish Enterprise carries out activities through Scottish Development International and SCTR, Limited. The activities of Scottish Development International consist of publicizing Scotland as a region of U.S. commercial and industrial development, seeking potential U.S. investors and developing links between Scottish Enterprise and such investors.
	The activities of SCTR, Limited consist in publicizing and assisting Scottish companies with opening in the United States.

12. During this 6 month reporting period, has the registrant, on behalf of any foreign principal, engaged in political activity<sup>5</sup> as defined below? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers and subject matter. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's political activities.

Political activity - Promotion of business development in Scotland, and export trade from Scotland on behalf of the British government to the United States.

Set forth below in the required detail the registrant's political activities.

Foreign Principal	Date	Contact	Method	Purpose
Scottish Enterprise	See	Schedule Attached	Various	Promotion of business development in Scotland, and export trade from Scotland on behalf of the British government to the United States.

13. In addition to the above described activities, if any, has the registrant engaged in activity or rendered any services on its own behalf which benefits the registrant's foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

Foreign Principal	Activities/Services

<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION****14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, please explain why no monies were received.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Foreign Principal	Date Received	From Whom	Purpose	Amount	Subtotal
Scottish Enterprise	Various	Various	See Attached Schedules	2470733.82	2470733.82
					2,470,733.82
					Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, has the registrant received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, has the registrant previously filed an Exhibit D<sup>8</sup> to its registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

If no, please file the required Exhibit D.

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	From Whom	Purpose	Thing of Value
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, has the registrant disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement or transmitted monies to any such foreign principal? Yes ☒ No ☐

If no, explain why no disbursements were made.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Foreign Principal	Date	Recipient	Purpose	Amount	Subtotal
Scottish Enterprise	Varioous	Various	Expenditures - See Schedule	2570818.49	2570818.49

2,570,818.49

Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date	Recipient	Purpose	Thing of Value
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/Thing of Value
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<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, has the registrant prepared, disseminated or caused to be disseminated any informational materials?<sup>12</sup> Yes ☒ No ☐

(b) If yes, identify each such foreign principal for which informational materials were disseminated and respond to the remaining items in this section.

Scottish Enterprise, Glasgow, Scotland

17. During this 6 month reporting period, has a budget been established or specified sum of money allocated to finance the registrant's activities in preparing or disseminating informational materials? Yes ☒ No ☐

If yes, identify each such foreign principal, and specify the period of time and the amount.

Foreign Principal	Period of Time	Amount
Scottish Enterprise	See Schedule of receipts and expenditures attached	Various

18. During this 6 month reporting period, has the registrant's activities in preparing, disseminating or causing the dissemination of informational materials included the use of any of the following:

- ☒ Magazine or newspaper   
 ☒ Advertising campaigns   
 ☒ Press releases   
 ☒ Pamphlets or other publications  
☒ Lectures or speeches   
 ☒ Radio or TV broadcasts   
 ☐ Motion picture films   
 ☒ Letters or telegrams  
☒ Email  
☒ Website URL(s): www.sdi.co.uk  
☐ Social media websites URL(s): \_\_\_\_\_  
☒ Other (specify) Presentations and events as detailed in attached schedule

19. During this 6 month reporting period, has the registrant prepared, disseminated, or caused to be disseminated informational materials among any of the following groups:

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Public officials   | <input checked="" type="checkbox"/> Newspapers                   | <input type="checkbox"/> Libraries                |
| <input type="checkbox"/> Legislators  | <input checked="" type="checkbox"/> Editors                      | <input type="checkbox"/> Educational institutions |
| <input checked="" type="checkbox"/> Government agencies                                   | <input checked="" type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups       |
| <input checked="" type="checkbox"/> Other (specify) <u>Industry Groups and Executives</u> |  |   |

20. Indicate the language used in the informational materials:

- ☒ English   
 ☐ Other (specify) \_\_\_\_\_

21. Has the registrant filed with the FARA Unit, U.S. Department of Justice a copy of each item of such informational materials prepared, disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

If no, please please file the required informational materials.

22. Has the registrant labeled each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☒ No ☐




<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature <sup>13</sup>
June 29, 2021	Kathleen B. Gallagher Tepfer	/s/ Kathleen B. Gallagher Tepfer eSigned
_____	_____	 _____
_____	_____	 _____
_____	_____	 _____

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

SCTR LIMITED  
and  
SCOTTISH DEVELOPMENT INTERNATIONAL

FARA Report - Financial Information - IV

December 1, 2020 to May 31, 2021

Registration No. 3013

Page 5, #14(a) Receipts - Monies

<u>Dates</u>	<u>From who</u>	<u>Purpose</u>	<u>\$</u>	
None			<u>\$ -</u>	
				<u>\$ -</u>
		Total Receipts for Scottish Development International		<u>\$ -</u>
<u>Dates</u>	<u>From who</u>	<u>Purpose</u>		
Various	Various Customers	Office Service Fees	\$ 108,353.82	
Various	Sale of Car	Expense refunds	12,400.00	
<u>2020</u>				
December	23	Scottish Enterprise	Expenditures	299,980.00
<u>2021</u>				
January	19	Scottish Enterprise	Expenditures	200,000.00
February	5	Scottish Enterprise	Expenditures	200,000.00
February	16	Scottish Enterprise	Expenditures	100,000.00
March	11	Scottish Enterprise	Expenditures	300,000.00
April	13	Scottish Enterprise	Expenditures	300,000.00
April	20	Scottish Enterprise	Expenditures	400,000.00
April	28	Scottish Enterprise	Expenditures	300,000.00
May	18	Scottish Enterprise	Expenditures	<u>250,000.00</u>
				<u>\$ 2,470,733.82</u>
		Total Receipts for SCTR Limited		<u>\$ 2,470,733.82</u>
		Total Receipts		<u><u>\$ 2,470,733.82</u></u>

SCTR LIMITED  
AND  
SCOTTISH DEVELOPMENT INTERNATIONAL  
CASH DISBURSEMENTS  
DECEMBER 1, 2020 - MAY 31, 2021  
REGISTRATION NO. 3013

DESCRIPTION	SCTR	SDI	TOTAL
U.K. TRAVEL	164.80	67.91	232.71
NON U.K. TRAVEL	(1,107.92)	842.56	(265.36)
RENT	157,819.29	0.00	157,819.29
INSURANCE	431,921.63	23,000.59	454,922.22
PRINTING & STATIONERY	572.69	0.00	572.69
TELEPHONE & TELEGRAPH	(46.74)	0.00	(46.74)
GENERAL EXPENSE	115.93	0.00	115.93
TAXES INCLUDING PAYROLL TAXES	122,342.54	3,973.86	126,316.40
PAYROLL FEES	1,735.02		1,735.02
LOCAL SALARIES AND PENSION	1,753,188.53	43,502.31	1,796,690.84
DEPRECIATION	13,653.99	0.00	13,653.99
SPECIALIZED CONTRACTORS	19,071.50		19,071.50
<b>TOTAL</b>	<u>2,499,431.26</u>	<u>71,387.23</u>	<u>2,570,818.49</u>

Scottish Enterprise  
December 1, 2020 to May 31, 2021  
Registration 3013

Page 8 - No. 19

No public relations consultants were used

No advertising firms were used

During this period the organization made virtual only presentations or exhibits at the following

DATE	SECTOR	EVENT
February 8- 11, 2021	Space	Small Sat Symposium
25-Mar-21	Technology	The Centre for Aging +Brain Health Innovation Summit
30-Mar-21	Technology	UpONDIGITAL
26-Mar-21	Technology	E-Health 2021
May 18-19, 2021	Technology	Healthcare Transformation Summit
May 11-12, 2021	Technology	STAT Health Tech Summit
December 7-9, 2020	Technology	Digital Banking- American Banker Conference
March 10-11, 2021	Technology	Insurtech 2021 Spring Conference
May 24-27, 2021	Technology	Consensus 2021 by Coindesk
January 26-28, 2021	Energy- Low Carbon	Energy Transition- Let's Talk Wind DNV
22-Apr-21	Energy- Low Carbon	Intl Partnering Forum – Business Network Offshore Wind (BNOW)
May 5-6, 2021	Energy- Low Carbon	2nd Annual Hydrogen Markets Americas Virtual Conference
13-May-21	Energy- Low Carbon	Intl Partnering Forum – BNOW
May 25-27, 2021	Energy- Low Carbon	Reuters New Energy – US Offshore Wind
28-Jan-21	Energy	Business & Burns, Scotland Engine of Innovation
27-Apr-21	Energy	Energy Showcase Webinar with GlobalScots
May 17-20, 2021	Energy	NSD: North Sea Decom Week

Scottish Enterprise  
FARA Report, Supplement to Part III 11, Activities  
May 31, 2021

These goals have been pursued by branches of Scottish Enterprise located in the United States by means of mail, telephone contacts, seminars, exhibitions, advertising in U.S. publications and electronic media and personal visits by officers of the registrant with representatives of U.S. business organizations. They have also been pursued by providing assistance to Scottish companies to begin operations in the U.S. by providing temporary office space and services.

Scottish Enterprise  
FARA Report, Business Addresses

Scottish Development International

Cambridge Innovation Centre  
50 Milk Street  
16<sup>th</sup> Floor  
Boston, MA 02109

1735 Technology Drive  
Unit 330  
San Jose, CA 95110

625 N. Michigan Avenue  
Suite 2200  
Chicago, IL 60611

10375 Richmond Avenue, Ste 1300  
Houston, TX 77042

SCTR Limited offices:

Operations are now run out of the above offices